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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/579,163

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Deok-Hoon Park

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01/20/2011

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EXAMINER

KISHORE, GOLLAMUDI S

ART UNIT

PAPER NUMBER

1612

NOTIFICATION DATE

DELIVERY MODE

01/20/2011

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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Office Action Summary	Application No.	Applicant(s)	
	10/579,163	PARK ET AL.	
	Examiner	Art Unit	
	GOLLAMUDI S. KISHORE	1612	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11-18-10.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 3.6 and 7 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 3.6 and 7 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

The RCE dated 11-18-10 is acknowledged.

Claims included in the prosecution are 3 and 6-7.

Claim Rejections - 35 USC § 112

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 3 and 6-7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Applicant amends claims 3 to introduce the limitation that the agitation is carried out without the use of a high-pressure homogenizer; yet the dependent claim 7 recites the step of high-pressure homogenization. This is confusing. Does it mean that the sizes of the liposomes are reduced and the liposomes are no longer multilayered?

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 3 and 6-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Popp (US 2006/0029657) in combination with Foldvari (5,853,755), optionally in further combination with Needham (US 2002/0102298).

Popp discloses topical skin protectant compositions containing 0.05 to 5 % phospholipid (hydrogenated lecithin), 0.001 to about 1.5 % ceramide, 0.1 to 5 % squalane, 8 to 30 % triglyceride, 2 to 5 % phytosterol. Although Popp teaches the use of an essential fatty acid, the fatty acid is in the form of oil. The composition is prepared by heating the oil phase components at a temperature of 40-50 degrees, mixing with the aqueous phase at the same temperature and homogenizing the mixture at 3000 rpm (0030-0117; 0164; 0195-0210; examples and claims.). Since the method of preparation is the same, the presence of multilayered liposomes is implicit. What is lacking in Popp is the use of fatty acid as such.

Foldvari teaches multilamellar vesicles for topical delivery. The liposomes contain a phospholipid, a ceramide and fatty substances to enhance the strength of the lipid bilayers. These include cholesterol and fatty acids such as stearic acid (0.5 %). The compositions further include oil and an active agent. The hydrophilic solvents include ethanol. The method of preparation involves mixing the two phases together at 40 to 80 degrees and homogenizing the mixture (abstract, col. 4, lines 8-41; col. 5, line 1 through col. 7, line 3; col. 8, line 47 through col. 10, line 9; col. 11, line 1 through col. 12, line 35; Examples). What is lacking Foldvari is the inclusion of squalane.

To include a fatty acid in the compositions of Popp would have been obvious to one of ordinary skill in the art since Foldvari teaches that fatty acids enhance the strength of the lipid bilayers. Alternately, to include squalane in the compositions of Foldvari would have been obvious to one of ordinary skill in the art with a reasonable expectation of success since Popp teaches that squalane is a therapeutic ingredient for

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the skin and its routine incorporation in topical formulations. The criticality of the sizes now recited is unclear to the examiner since the sizes of the multilamellar vesicles could be controlled by the speed of the mechanical vibration or homogenization. The examiner cites US 5,660,856 (see col. 5, lines 47-67), 5,965,156 (see col. 5, line 53 through col. 6, line 7) and 6,689,381 (see col. 9, lines 30-47) in this context. One of ordinary skill in the art would expect sizes of the liposomes in Popp and Foldvari to be similar to the claimed sizes since the reference of Needham indicates that the hydration of the phospholipid with an aqueous medium would result in multilamellar vesicles having average sizes of 700 nm. It should be noted that according to instant specification, the sizes can be between 200 to 5000 nm.

Applicant's arguments have been fully considered, but are not found to be persuasive. Applicant argues that the reference of Popp implicitly teaches a method for the preparation of multilayered liposomes even though multilayered liposomes are not mentioned and the method is not suggested. According to applicant that the present invention is limited to a method in which multilayered liposomes are prepared for transdermal absorption which requires fatty acids and specifies that multilayered liposomes will be formed upon agitation of the composition without the use of a high pressure homogenizer and that they have discovered in accordance with the present invention that a mixture of oil phase components comprising squalane, sterols, ceramide, neutral lipids or oils, fatty acids in an amount of from 0.1 to 20.0 wt % based on the total weight of the liposomes and lecithins permit multilayered liposomes to be formed upon agitation of the composition within a range of 500 to 9000 rpm without the

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use of the homogenizer. Applicant further argues that there is no teaching in Popp or any suggestion in Popp for producing multilayered liposomes much less that multilayered liposomes can be stably produced without using a high pressure homogenizer by following the method steps in claim 3. According to applicants since there is no teaching in Popp of the conditions to prepare multilayered liposomes, and no teaching of a fatty acid component, the method of claim 3 is clearly not obvious from the teaching of Popp and no basis exists for the allegation that this method is implicit.

These arguments are not persuasive. As already pointed out before it is well known in the art that multilamellar liposomes are produced when an amphiphilic lipid such as a phospholipid is hydrated or added with an aqueous medium which upon further sonication or high pressure homogenization convert into unilamellar vesicles or liposomes. The prior art submitted by applicant itself shows that liposomes are produced when amphiphilic lipid is combined with an aqueous medium ((JP 02-149336 and H08-509202). Furthermore, the reference of Foldvari shows the formation of liposomes when a phospholipid, a ceramide, cholesterol and phospholipid, an oil and an aqueous phase are mixed and homogenized. Therefore, one would expect the formation of multilayered liposomes by the procedure described by Popp. Secondly, Popp teaches simple mixing and not high pressure homogenization. Even assuming he did, the examiner points out that instant claim 7 recites the step of subjecting the composition to high pressure homogenization step; the reference of Foldvari teaches

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homogenization. As already pointed out the figure 3 of Foldvari clearly shows the multilayered nature of the liposomes formed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to GOLLAMUDI S. KISHORE whose telephone number is (571)272-0598. The examiner can normally be reached on 6:30 AM- 4 PM, alternate Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Krass Frederick can be reached on (571) 272-0580. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Gollamudi S Kishore/
Primary Examiner, Art Unit 1612

GSK